



# 11  
**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ALFRED S. LEWIN  
LYNN C. SHAW  
MARIA B. GRANT

Group Art Unit: 1632

Examiner: Unknown

Serial No.: 09/847,601

Atty. Dkt. No.: 4300.014100

Filed: May 1, 2001

For: ADENO-ASSOCIATED VIRUS-  
DELIVERED RIBOZYME  
COMPOSITIONS AND METHODS FOR  
THE TREATMENT OF RETINAL  
DISEASES

**RECEIVED**


MAY 16 2003

**TECH CENTER 1600/2900**

**STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.821(f)**

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Arlington, VA 22202

Sir:

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
May 9, 2003 Date	 Signature

Submitted herewith is a copy of the computer readable form of the sequence listing of those sequences in the captioned patent application. The enclosed computer readable form of the sequence listing is the same as the computer readable form and paper copy of the sequence listing mailed September 12, 2001. The sequence information provided in the Specification is also the same as the sequence listing of the enclosed computer readable form of the sequence listing as clarified by the Preliminary Amendment mailed September 12, 2001.

In accordance with 37 C.F.R. § 1.821(g), it is herewith represented that no new matter is included with this submission.

Respectfully submitted,

Date: May 9, 2003



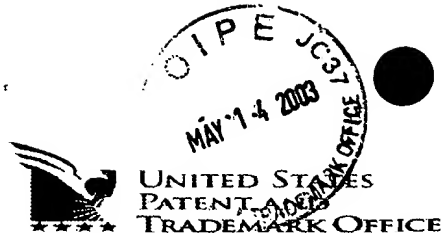
23720

PATENT TRADEMARK OFFICE

A handwritten signature in black ink, appearing to read "Mark D. Moore", written over a horizontal line.

Mark D. Moore  
Reg. No. 42,903  
WILLIAMS, MORGAN & AMERSON  
10333 Richmond, Suite 1100  
Houston, Texas 77042  
(713) 934-4084  
(713) 934-7011 (facsimile)

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,601	05/01/2001	Alfred S. Lewin	4300.014100

CONFIRMATION NO. 7183

## FORMALITIES LETTER



\*OC000000009747724\*

023720  
WILLIAMS, MORGAN & AMERSON, P.C.  
10333 RICHMOND, SUITE 1100  
HOUSTON, TX 77042

Date Mailed: 04/01/2003

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*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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